

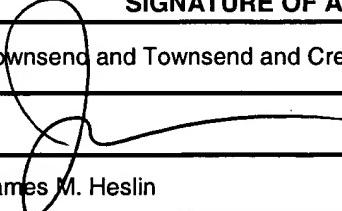
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O I P TRANSMITTAL FORM		Application Number	09/872,068
FEB 07 2005 <i>(to be used for all correspondence after initial filing)</i>		Filing Date	May 31, 2001
		First Named Inventor	Brian K. Courtney et al.
		Art Unit	3763
		Examiner Name	Hayes, Michael J.
PATENT & TRADEMARK OFFICE Serial Number, Page(s) in This Submission		Attorney Docket Number	025738-000310US

ENCLOSURES (Check all that apply)

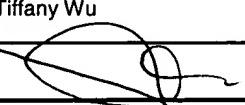
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences		
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information		
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter		
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<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53				
<table border="1"> <tr> <td>Remarks</td> <td>The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</td> </tr> </table>			Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	James M. Heslin		
Date	2-1-2005	Reg. No.	29,541

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Attorney Docket No.: 025738-000310US

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On 2/21/05

TOWNSEND and TOWNSEND and CREW LLP

By: 

Tiffany Wu



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brian K. Courtney et al.

Application No.: 09/872,068

Filed: May 31, 2001

For: EMBOLIZATION PROTECTION
SYSTEM FOR VASCULAR
PROCEDURES

Examiner: Hayes, Michael J.

Art Unit: 3763

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the Non-U.S. Patent references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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PATENT

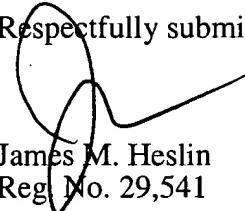
Application No.:
Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


James M. Heslin
Reg. No. 29,541

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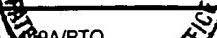
Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		<i>Complete if Known</i>	
		Application Number	09/872,068
		Filing Date	05/31/2001
		First Named Inventor	Courtney
		Art Unit	3763
Examiner Name	Hayes, Michael		
Sheet	1	of	2
		Attorney Docket Number	025738-000310US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No.	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,457,747	07-03-1984	Tu	
	2	4,832,028	05-23-1989	Patel	
	3	4,902,276	02-20-1990	Zakko	
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	37	6,338,709	01-15-2002	Geogrion et al.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		<i>Complete If Known</i>	
Sheet	2	of	2
		Application Number 09/872,068 Filing Date 05/31/2001 First Named Inventor Courtney Art Unit 3763 Examiner Name Hayes, Michael Attorney Docket Number 025738-000310US	

U.S. PATENT DOCUMENTS+

CITED DOCUMENTS				
		Document Number		
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	38	6,355,014	03-12-2002	Zadno-Azizi et al.
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FEB 07 2005

PTO/SB/08B (08-03)

Substitute for form 1449B/1449C INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	3	of	3	Application Number	09/872,068
				Filing Date	05/31/2001
				First Named Inventor	Courtney
				Art Unit	3763
				Examiner Name	Hayes, Michael
				Attorney Docket Number	025738-000310US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	50	Ohki et al., " Efficacy of a Proximal Occlusion Catheter with Reversal of Flow in the Prevention of Embolic Events During Carotid Artery Stenting: An Experimental Analysis", Journal of Vascular Surgery (March 2001) vol 33, no. 3, p. 504-509	
	51	Advanced Imaging Catheter [online], "Imaging Catheter Gives Surgeons the Inside Picture", [retrieved on 2002-07-15]. Retrieved from the Internet: http://www.llnl.gov/str/dasilva.html , 5 pages total.	

Examiner Signature	Date Considered
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